(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 19 December 2002 (19.12.2002)

PCT

(10) International Publication Number WO 02/100586 A1

(51) International Patent Classification7: B23K 20/12

(21) International Application Number: PCT/US02/18774

(22) International Filing Date: 12 June 2002 (12.06.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/297,738 12 June 2001 (12.06.2001) US 10/161,295 3 June 2002 (03.06.2002) US 10/171,272 11 June 2002 (11.06.2002) US

- (71) Applicant: BRIGHAM YOUNG UNIVERSITY [US/US]; Technology Transfer Office, A-285 ASB, P.O., Box 21231, Provo, UT 84602-1231 (US).
- (72) Inventors: PACKER, Scott, M.; 302 Wood Drive, Alpine, UT 84004 (US). NELSON, Tracy, W.; 1120 West 820 North, Provo, UT 84601 (US). SORENSEN, Carl, D.; 286 East 4840 North, Provo, UT 84604 (US).
- (74) Agents: JOHNSON, Christopher, L. et al.; Kirton & Mc-Conkie, 1800 Eagle Gate Tower, 60 East South Temple, Salt Lake City, UT 84111 (US).

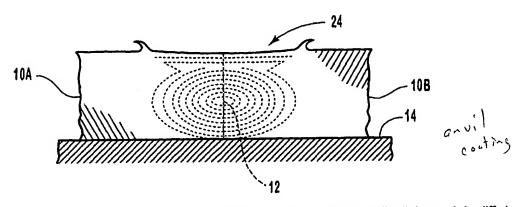
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ANVIL FOR FRICTION STIR WELDING HIGH TEMPERATURE MATERIALS



(57) Abstract: A friction stir welding anvil (14) and method of producing a friction stir welding anvil (14) that precludes diffusion or mechanical bonding of the anvil (14) to the work pieces (10A, 10B) are provided. The alternatives for producing such an anvil (14) comprise coating the anvil (14) with diffusion barriers such as oxides, nitrides, intermetallics, and/or refractory metals; manufacturing an anvil (14) either completely or partially from the same; or placing a coating (26) of such materials in the form of a thin sheet or a powder between the anvil (14) and the work pieces (10A, 10B). The anvil (14) disclosed herein exhibits high strength and hardness even at elevated temperatures, such as those greater than 800 °C, so as to prevent the anvil (14) from mechanically or diffusion bonding to the work pieces (10A, 10B) and so as to minimize, or eliminate altogether, anvil deformation.

WO 02/100586 A

1

ANVIL FOR FRICTION STIR WELDING HIGH TEMPERATURE MATERIALS

1. Field of the Invention

5

10

This invention relates to friction stir welding and, more particularly, to an anvil used in friction stir welding processes that is either coated with or manufactured from a substance capable of resisting anvil deformation and preventing diffusion bonding or mechanical bonding between the anvil and the friction stir welding tool or work piece when friction stir welding high temperature materials or work pieces.

2. <u>Background and Related Art</u>

Friction welding has been used for years in the welding industry. For example, when the ends of two pipes are pressed together and simultaneously turned in opposite directions to one another heat is generated and causes the ends of the pipes to become plasticized and bonded. Rapidly stopping rotation of the pipes causes the two pipes to fuse together.

15

Friction stir welding, on the other hand, is a relatively new technique, and was first described in United States Patent No. 5,460,317, issued October 24, 1995.

Friction stir welding involves pressing a non-consumable friction stir welding tool with a profiled probed end against two work pieces at the point where they come in contact with one another. Cyclical movement of the probed end of the tool generates heat as it presses against the two work pieces. The probed end of the tool enters or plunges into the two work pieces in a plasticized region created by the friction of the probed end. The probed end can then be slowly moved to a region where the two pieces abut each other, thereby enabling the area between the two pieces, which is beneath the probed end, to solidify together.

25

30

20

There are a number of advantages to friction stir welding. Among these include the fact that during friction stir welding the material heated is not exposed to combustion products. This reduces chemical changes in the work pieces due to the interface of the work pieces with the tool and its byproducts. Another advantage of friction stir welding is that the temperature of the work pieces, even in the heated region, tends not to be as high as the temperature resulting from conventional welding processes. This reduced temperature reduces oxidation of the work pieces due to ambient atmosphere, thereby reducing the need to provide an inert atmosphere at the weld location.

35

Friction stir welding has traditionally been limited to welding of low melting temperature materials such as aluminum alloy, copper alloys, lead and magnesium

alloys because of the wear on the probed end. These materials are effectively joined using standard steel backing supports or anvils. However, recent advances in technology allow for the friction stir welding of harder, previously unweldable materials.

5

In order to join so-called 'higher temperature materials' such as steels, stainless steels, nickel alloy or titanium alloys, it is necessary to employ increased temperatures and forces. These increased temperatures and forces present new problems. For instance, such high temperature materials can lead to diffusion or mechanical bonding of the work pieces to the anvil. Moreover, friction stir welding of high temperature work pieces may cause unwanted and added deformation of the anvil.

10

15

The current trend in the art when joining high temperature materials is to avoid full penetration with the probed end so as to reduce the probability that diffusion or mechanical bonding of the work pieces to the anvil occurs. Unfortunately, if the probed end penetrates only a small amount into the joint, only a portion of the joint is heated and joined, and the weld is not as strong as when the weld is fully penetrated through the joint region. In addition, the material is not fully plasticized in the entire welded seam, causing a portion of the apparently welded region to be in the form of a lap weld, which is a region where the material from one of the work pieces overlaps onto, but does not fully bond with, the material of adjacent work pieces. The weakness of such a weld is not always obvious or at least visible upon a precursory inspection.

20

Recent trends in the art attempt to achieve full penetration welds. A number of inventions deal with adding a chamfer or a groove to the anvil or the work pieces. (See United States Patent Nos. 5,611,479 and 5,769,306.) Additionally, a number of patents focus on using a feedback control system to control the depth of the pin, thereby controlling the level of penetration. (See United States Patent Nos. 6,168,066 and 6,173,880.)

25

U.S. Pat. No. 6,168,066 incorporates a ceramic sensor plate for sensing magnetic fields and eddies to control the depth of the magnetic friction stir welding tool in softer non-magnetic materials.

30

Accordingly, it would be an improvement in the art to augment or even replace the current techniques with other techniques.

PCT/US02/18774

3

SUMMARY OF THE INVENTION

Some embodiments of the present invention provide a friction stir welding anvil that forms a diffusion barrier between the work pieces and the anvil when friction stir welding.

5

Some embodiments of the present invention provide an improved friction stir welding anvil that allows for the welding of materials and work pieces normally considered 'rigid' and difficult to weld with conventional friction stir welding anvils.

10

Furthermore, some embodiments of the present invention provide an improved friction stir welding anvil that allows for full penetration welding of materials currently difficult to friction stir weld with conventional friction stir welding anvils.

Some embodiments of the present invention provide an improved friction stir welding anvil that resists the usual deformation attributable to the anvil when friction stir welding high temperature work pieces and materials.

15

Some embodiments of the present invention provide a friction stir welding anvil that prevents diffusion bonding between the work pieces and the anvil when friction stir welding.

Also, some embodiments of the present invention provide a friction stir welding anvil that prevents mechanical bonding between the work pieces and the anvil when friction stir welding.

20

Some embodiments of the present invention provide a friction stir welding anvil that is either coated with or manufactured from at least one chemically inert material that enables a diffusion barrier to form between the work pieces and the anvil.

25

In addition, some embodiments of the present invention provide a number of materials that can be used to coat an anvil or from which an anvil can be manufactured so as to enable superior performance than is available with current friction stir welding anvils.

Further features and advantages of the invention will become apparent to those skilled in the art from a consideration of the following detailed description taken in combination with the accompanying drawings.

30

35

In a preferred embodiment, a system is provided whereby a friction stir welding anvil is manufactured from a chemically inert material such as an Oxide, Nitride, Carbide or Silicate. In the embodiment, the anvil is a generally flat plate or rotating wheel that supports the work pieces while friction stir welding. Alternatively, instead of the anvil being comprised of the aforementioned materials, the chemically inert material is used to coat the anvil.

The following are alternatives for the method of application or manufacturing of the anvil using the above referenced materials. In one embodiment, the anvil is created by directly spray-coating the metallic anvil with the chemically inert material. Alternatively, the anvil is coated with the chemically inert material by chemical or vapor deposition. The anvil may also be coated with the chemically inert material through anodizing or may be coated with the chemically inert material through ion beam sintering. Further, the anvil may be a complete insert comprised of the chemically inert material or the anvil may be coated with a powdered form of the chemically inert material. Furthermore, the anvil may be coated with a powdered form of the chemically inert material by slurry. Alternatively, the anvil may be coated with the chemically inert material by creating and bonding the chemically inert material simultaneously by reaction or transformation.

In accordance with embodiments of the present invention, the anvil's geometry or shape can vary in order to accomplish more specific objectives, so long as the anvil is at least partially coated or comprised of the chemically inert material. Additionally, any chemically inert coating, that includes a metal combined with Oxygen, Nitrogen, Carbon or Silicon as a second or third component to respectively form an Oxide, a Nitride, a Carbide or a Silicate improves performance of the anvil in accordance with embodiments of the present invention.

20

25

5

10

15

Moreover, any chemically inert coating that includes a chemically inert material, such as but not limited to diamonds, improves performance of the anvil in accordance with embodiments of the present invention.

The principles of embodiments of the present invention enable full penetration during friction stir welding of traditionally non-friction stir weldable materials, such as steels, titanium, and other high temperature materials because the tool does not stick to the anvil or work pieces and an enhanced weld is achieved.

These and other features and advantages of the present invention will be set forth or will become more fully apparent in the description that follows and in the appended claims. The features and advantages may be realized and obtained by means of the instruments and combinations particularly pointed out in the appended claims. Furthermore, the features and advantages of the invention may be learned by the practice of the invention or will be obvious from the description, as set forth

30

hereinafter.

5

BRIEF DESCRIPTION OF THE DRAWINGS

In order that the manner in which the above recited and other features and advantages of the present invention are obtained, a more particular description of the invention will be rendered by reference to specific embodiments thereof, which are illustrated in the appended drawings. Understanding that the drawings depict only typical embodiments of the present invention and are not, therefore, to be considered as limiting the scope of the invention, the present invention will be described and explained with additional specificity and detail through the use of the accompanying drawings in which:

10

15

5

Figure 1 is a cross sectional view illustrating the face to be welded, wherein the illustration includes both the joint line of the work pieces and supporting anvil;

Figure 2 is another cross-sectional illustration of the face to be welded and shows the friction stir welding tool operating within the work pieces;

Figure 3 depicts a cross-sectional view of the face after welding with conventional anvils;

Figure 4 depicts a cross-sectional view of the face after welding with embodiments of the present invention as disclosed herein;

Figure 5 illustrates a cross-sectional view depicting the face to be welded and further depicting a coated diffusion barrier on the anvil; and

20

25

Figure 6 is yet another cross-sectional view illustrating the face to be welded and an anvil that is composed of an inert diffusion barrier.

DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made to the drawings in which the various elements of the present invention will be given numerical designations and in which the invention will be discussed so as to enable one skilled in the art to make and use the invention. It should be understood that the following description is only exemplary of the principles of the present invention, and should not be viewed as narrowing the claims that follow.

30

Some embodiments of the invention disclosed herein provide for the full or partial penetration during friction stir welding of high temperature materials, such as stainless steels. With new advances in the tools used in friction stir welding devices and processes, different materials with higher melting materials than those used in the past are now capable of successful friction stir welds. The high temperatures and pressures required to join high temperature materials or work pieces make it necessary to have a diffusion barrier between the work pieces and support so as to preclude

5

10

15

20

25

30

diffusion bonding between the work pieces and prevent deformation of the anvil. Experimentation reveals that diffusion bonding, mechanical bonding, and deformation occur between the work pieces and the conventional or standard steel anvil when joining friction stir welding higher temperature materials. Thus, present embodiments described herein prevent such bonding of the anvil to the work pieces during the friction stir welding process and minimizes, if not eliminates altogether, anvil deformation.

In the specification and claims, the term 'higher temperature materials' refers to those compositions or work pieces that are not easily friction stir welded using traditional friction stir welding tools. These compositions are exemplified by, but not limited to steels, stainless steels, nickel alloys and titanium alloys. When friction stir welding the aforementioned materials, temperatures exceeding 1300 degrees Celsius and pressures exceeding ten tons of force are typical.

Figure 1 demonstrates a cross-sectional view through the face to be welded, showing two work pieces and a supporting anvil 14. The view shows welding plates 10A and 10B being butted against one another at joint 12.

Figure 2 shows a friction stir welding tool 22 and welding plates 10A and 10B. Pin 16 frictionally plasticizes the material and welds the plate. When joining high temperature materials, the state of the art is to avoid full penetration leaving an unjoined section 18. Such lack of penetration, however, results in a weld having a cross section 24, as illustrated in Figure 3, where the bottom portion of the weld 18 is difficult upon simple examination to determine whether or not the weld is or is not complete.

Figure 4 shows a cross section 24 of a weld that is joined in accordance with principles of embodiments of the present invention. A coated anvil 14 is illustrated that allows full penetration without the risk of diffusion or mechanical bonding the work pieces to the anvil. The anvil may be coated with an inert material that resists diffusion bonding.

In one embodiment, a flat rectangular anvil that is spray-coated is used. Figure 5 shows a cross-sectional view of such a coated anvil. The illustrated anvil includes a conventional steel core 28, which is sprayed with an inert coating 26 to resist diffusion bonding.

In accordance with the present invention, chemical vapor deposition is an alternative method for depositing materials on the conventional steel core. These materials include, for example and not by way of limitation, carbides, nitrides, oxides and silicates.

5

10

15

20

25

30

The inert coating shown in Figure 5 may be applied to the conventional steel core through, for example, a powder-coating process. An inert powder is spread over the existing steel anvil before placing the work pieces in a position ready for friction stir welding. As the work pieces move across the anvil, the powder coats the bottom of the work pieces and resists bonding.

The coating in Figure 5 may alternatively be applied through anodization. Accordingly, the anvil is suspended in an electrolyte bath as an anode, and a current is passed through it. This process produces oxygen at the anode surface that reacts with the metal to form an oxide layer.

Figure 6 illustrates an anvil that consists completely of the inert material 26. Embodiments embrace the use of such an anvil as an insert.

An alternative embodiment for an anvil includes, but is not limited, to a horizontal rotatable cylinder or roller. This and other geometries are intended to be included within the scope of embodiments of the present invention.

The providing of an anvil that is covered with or includes inert chemicals teaches away from traditional techniques because of the high cost of friction stir welding bits and economic pressures, which do not allow many to try full penetration with high temperature materials.

Although coating may not typically prevent deformation of the anvil when the anvil is comprised of all types of materials, a coating typically prevents diffusion bonding in anvils constructed of most types of materials. In addition, both the prevention of diffusion bonding and deformation occurs in anvils that are formed from materials that are highly resistant to heat deformation and that are coated according to the teachings of embodiments of the present invention. Subsequent welds on a deformed table or anvil typically causes severe problems with surface location, however, embodiments of the present invention include coated and non-coated solid anvil materials that maintain their elastic modulus at elevated temperatures and will not diffusion weld (i.e., above steels 30 million psi). Some of these materials are made from the diffusion barrier materials discussed above. Embodiments also include the use of marble and/or granite. Liquid cooling of the anvil or of the table on which the work pieces are situated regulate the flow of heat, which can be used as a control parameter for weld quality and to maintain table modulus during friction stir welding. Solid ceramic materials may be used in accordance with embodiments of the present invention to control heat flow based on their thermal conductivity. Solid ceramic materials may also be used as anvils and do not typically allow diffusion bonding or deformation.

Those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiments without materially departing from the teachings and advantages provided herein. Accordingly, all such modifications are intended to be included within the scope of embodiments of the present invention.

Thus, as discussed herein, the embodiments of the present invention embrace friction stir welding and, more particularly, an anvil used in friction stir welding processes that is either coated with or manufactured from a substance capable of resisting anvil deformation and preventing diffusion bonding or mechanical bonding between the anvil and the friction stir welding tool or work piece when friction stir welding high temperature materials or work pieces. The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive. The scope of the invention is, therefore, indicated by the appended claims rather than by the foregoing description. All changes that come within the meaning and range of equivalency of the claims are to be embraced within their scope.

What is claimed is:

9

1. An anvil that is configured for use in friction stir welding, the anvil comprising:

a core configured to support materials being welded together by a friction stir welding process; and

a chemically inert material that is included in one of:

(i) the core; and

- (ii) a coating applied onto at least a portion of the core.
- 2. An anvil as recited in claim 1, wherein the coating comprises one of:
- (i) an element selected from group IIIA of the periodic table of elements;
- (ii) an element selected from group IVA of the periodic table of elements;
- (iii) an element selected from group IIIB of the periodic table of elements; and

(iv)an element selected from group IVB of the periodic table of elements.

- 3. An anvil as recited in claim 1, wherein the coating comprises one of:
- 15 (i) a diamond;

5

10

20

25

- ii) an intermetallic; and
- (iii) a refractory metal.
- 4. An anvil as recited in claim 1, wherein the anvil forms a diffusion barrier between the materials being welded together and a surface of the anvil when performing a friction stir welding process.
- 5. An anvil as recited in claim 1, wherein the anvil enables full penetration of welding the materials.
- 6. An anvil as recited in claim 1, wherein the anvil resists deformation during the friction stir welding process.
- 7. An anvil as recited in claim 1, wherein the anvil prevents mechanical bonding between the materials and the anvil.
- 8. An anvil as recited in claim 1, wherein the chemically inert material comprises one of:
 - (i) an oxide;
- 30 (ii) a nitride;
 - (iii) a carbide; and
 - (iv) a silicate.
 - 9. An anvil as recited in claim 1, wherein the core is one of:
 - (i) a plate; and
- 35 (ii) a rotating wheel.

.

PCT/US02/18774

5

10

15

20

25

30

10. A method for manufacturing a friction stir welding anvil that is capable of friction stir welding high temperature materials, the method comprising the steps for:

providing an anvil core that is configured to support materials being welded together by a friction stir welding process; and

using a chemically inert material in providing one of:

- (i) the anvil core; and
- (ii) a coating on at least a portion of the core.
- 11. A method as recited in claim 10, wherein the step for using a chemically inert material comprises one of the steps for:
 - (i) using a spray-coating process;
 - (ii) using a deposition process;
 - (iii) using an anodizing process;
 - (iv) using an ion beam sintering process;
 - (v) using a slurry process; and
 - (vi) using a chemical reaction process.
- 12. A method as recited in claim 10, wherein the step for using comprises the step for coating at least a portion of the core using a powder coating process, and wherein the chemically inert material is in a power form.
- 13. A method as recited in claim 10, wherein the chemically inert material comprises a metal and oxygen.
- 14. A method as recited in claim 10, wherein the chemically inert material comprises a metal and nitrogen.
- 15. A method as recited in claim 10, wherein the chemically inert material comprises a metal and carbon.
- 16. A method as recited in claim 10, wherein the chemically inert material comprises a metal and silicon.
- 17. A method as recited in claim 10, further comprising the step for forming the core into one of:
 - (i) a flat plate; and
 - (iii) a rotating wheel.
- 18. A method for providing an anvil for use in friction stir welding, the method comprising the steps for:

providing an anvil having a core;

35 placing materials that are to be friction stir welded onto the anvil;

friction stir welding the materials; and

7/6/04, EAST Version: 2.0.0.29

11

monitoring the temperature of the weld.

19. A method as recited in claim 18, wherein the step for providing includes the steps for:

forming a cavity in the core; and attaching a conduit to the cavity.

20. A method as recited in claim 19, wherein the step for monitoring the temperature of the weld comprises the step for circulating a fluid through the cavity to regulate the temperature of the anvil.

10

5

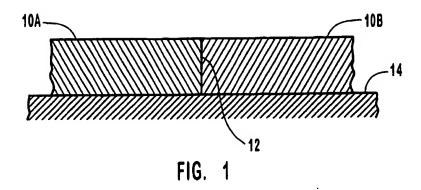
15

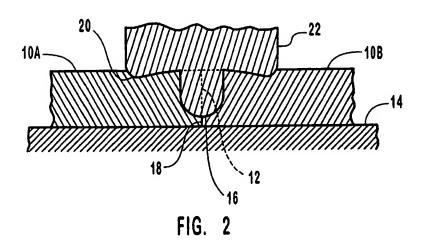
20

25

30

1/2





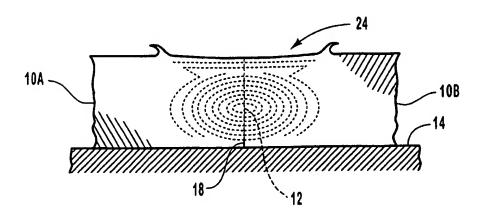


FIG. 3

7/6/04, EAST Version: 2.0.0.29

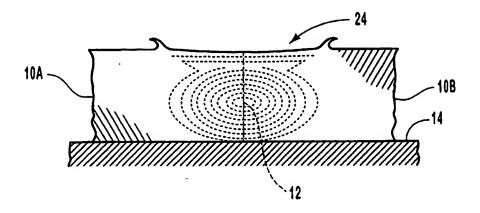
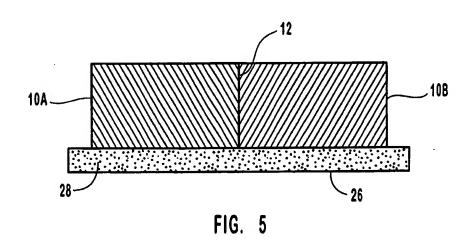
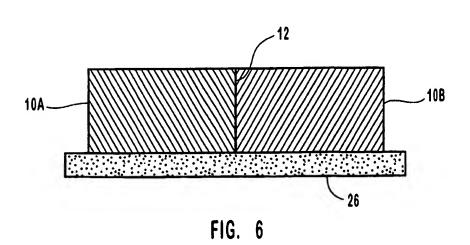


FIG. 4





7/6/04, EAST Version: 2.0.0.29

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/18774

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) : B23K 20/12 US CL : 228/112.1, 2.1 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) U.S.: 228/112.1, 2.1		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST 1.3 and WEST 2.1		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where ap	
Х	US 6,168,066 B1 (ARBEGAST) 2 January 2001 (02.01.2001), abstract; column 3, lines 50- 67; column 4, lines 1-26; column 6, lines 14-34; column 7, lines 14-46; and Figures 1-5.	
х	JP 2000-073164 A (ENOMOTO et al) 7 March 2000 (07.03.2000), abstract; and Figures 1-6.	
A	US 5,611,479 A (ROSEN) 18 March 1997 (18.03.1997), abstract; column 1, lines 40-63; 1, 10, 18 column 2, lines 40-67; column 3, lines 1-28; and Figures 1-6.	
A	US 6,199,745 B1 (CAMPBELL et al) 13 March 2001 (13.03.2001), column 4, lines 14-39; and Figure 4.	
Further	documents are listed in the continuation of Box C.	See patent family annex.
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier app	plication or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
establish t specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination
"O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the		being obvious to a person skilled in the art "&" document member of the same patent family
priority date claimed Date of the actual completion of the international search Date of mailing of the international search report		
16 September 2002 (16.09.2002)		2 9 OCT 2002
		Authorized officer
Commissioner of Patents and Trademarks Box PCT		Tom Dunn Jean Proctor-Paralegal Spectralist
Washington, D.C. 20231 Facsimile No. (703)305-3230		Telephone No. (703) 308-0661

Form PCT/ISA/210 (second sheet) (July 1998)